

A MEETING of the AUDIT AND RISK COMMITTEE will be held in the COUNCIL CHAMBER, COUNCIL HEADQUARTERS, NEWTOWN ST BOSWELLS on MONDAY, 23 NOVEMBER 2015 at 2.00 pm

As previously agreed, there will be an Informal Briefing Seminar for all Elected Members at 12.30 p.m. on Borrowing and Treasury Management in Councils followed by lunch for training attendees prior to the main meeting for the Members of the Committee.

The Audit Scotland report 'Borrowing and treasury management in councils' published in March 2015 on findings from a national review of borrowing and treasury management arrangements across all Scottish councils was presented to the Audit and Risk Committee on 11 May 2015. The report includes a Scrutiny checklist for councillors with Questions for councillors on borrowing and treasury management.

The Informal Briefing Seminar for all Elected Members will cover the following headings from the Scrutiny checklist for councillors:

- Treasury management strategy and related reports
- Borrowing and other financing decisions
- Affordability and sustainability
- Performance and benchmarking

J. J. WILKINSON,
Clerk to the Council,
16 November 2015

BUSINESS		
1.	Apologies for Absence.	
2.	Order of Business.	
3.	Declaration of Interest.	
4.	Minute (Pages 1 - 8) Minute of Meeting of the Audit & Risk Committee held on 28 September 2015 to be approved and signed by the Chairman. (Copy attached.)	4 mins
5.	Risk Management in Services Presentation by Chief Officer Health and Social Care and Chief Social Work Officer on the strategic risks facing Adult Services and the internal controls and governance in place to manage / mitigate those risks to demonstrate how risk management is embedded within services. (Verbal presentation)	15 mins

6.	Corporate Risk Management Strategy (Pages 9 - 16) Consider report by Chief Officer Audit & Risk on the revised Corporate Risk Management Strategy for scrutiny prior to presentation for Council approval. (Copy attached.)	15 mins
7.	Internal Audit Work 2015/16 to October 2015 (Pages 17 - 30) Consider a report by Chief Officer Audit & Risk on findings from recent work carried out by Internal Audit, and work currently in progress. (Copy attached)	20 mins
8.	Internal Audit Mid Term Performance Monitoring Report 2015/16 (Pages 31 - 42) Consider a report by Chief Officer Audit & Risk on progress Internal Audit has made during the first half of the year towards completing the Annual Plan 2015/16 and an outline of performance. (Copy attached)	20 mins
9.	Mid-Term Treasury Management Report 2015/16 (Pages 43 - 60) Consider mid-term report by Chief Financial Officer on the Council's Treasury Management activities undertaken during first half of financial year 2015/16 for review and scrutiny prior to Council approval. (Copy attached.)	20 mins
10.	Housing Benefits Overpayment and Debt Recovery (Pages 61 - 70) Consider update report by Service Director Neighbourhood Services on Housing Benefits overpayment and debt recovery information. (Copy attached)	15 mins
11.	Benefits Performance Audit Update 2014/15 (Pages 71 - 86) Consider report by Audit Scotland published in June 2015 which provides a summary of the performance audit work carried out by Audit Scotland on Scottish Councils' housing benefit services during 2014/15. (Copy attached)	20 mins
12.	Any Other Items Previously Circulated.	
13.	Any Other Items which the Chairman Decides are Urgent.	

NOTES

1. **Timings given above are only indicative and not intended to inhibit Members' discussions.**
2. **Members are reminded that, if they have a pecuniary or non-pecuniary interest in any item of business coming before the meeting, that interest should be declared prior to commencement of discussion on that item. Such declaration will be recorded in the Minute of the meeting.**

Membership of Committee:- Councillors M. Ballantyne (Chair), W. Archibald, J. Campbell, A. J. Nicol, S. Scott and B White (Vice-Chairman)

Please direct any enquiries to Pauline Bolson. Tel: 01835 826503
Email: PBolson@scotborders.gov.uk
